



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | | |
|-------------|------------------------|---|---------------------------|
| Applicant: | Olivier de Pous et al. |) | <u>PATENT APPLICATION</u> |
| | |) | VAL1599P0190US |
| Serial No.: | Serial No. 09/775,949 |) | |
| | |) | Group Art Unit: 3636 |
| Filed: | February 2, 2001 |) | |
| | |) | Confirmation No. 1510 |
| For: | ATTACHMENT DEVICE TO |) | |
| | ATTACH A PUMP OR VALVE |) | |
| | ONTO A RECIPIENT NECK |) | |
| | |) | |
| Examiner: | Not Yet Designated |) | |

RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NONPROVISIONAL APPLICATION

Commissioner For Patents
Washington, D.C. 20231

Attention: Customer Service Center
Initial Patent Examination Division

Sir:

This responds to the Notice To File Missing Parts Of Nonprovisional Application dated March 28, 2001, a copy of which is attached.

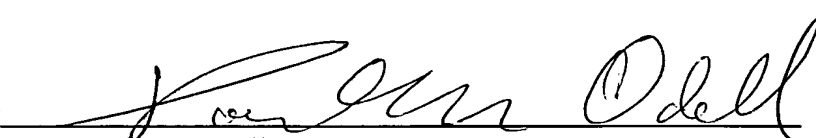
Enclosed are the following:

1. A check in the amount of \$130.00 for the surcharge set forth in 37 C.F.R. 1.16(e).
2. A Patent Application Declaration And Power of Attorney signed by the inventor.
3. A certified copy of each of the priority documents FR 00.05877 and FR 00.14259.

Serial No. 09/775,949 - - - 2

The Commissioner is authorized to charge any additional amounts that may be required or credit any overpayment to Deposit Account No. 04-1644. A duplicate copy of this sheet is enclosed.

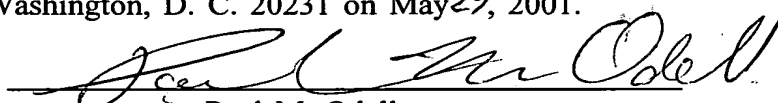
Respectfully submitted,

By 
Paul M. Odell, Reg. No. 28,332

ROCKEY, MILNAMOW & KATZ, LTD.
Two Prudential Plaza, Suite 4700
180 North Stetson Avenue
Chicago, Illinois 60601
(312) 616-5400

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D. C. 20231 on May²⁹, 2001.


Paul M. Odell



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/775,949 | 02/02/2001 | Olivier de Pous | VAL1599P0190US |

PMO

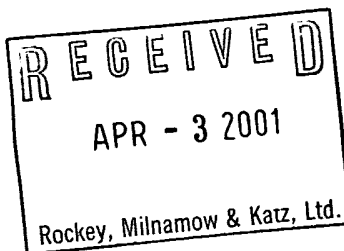
CONFIRMATION NO. 1510

FORMALITIES LETTER



OC000000005911049

ROCKEY, MILNAMOW & KATZ, LTD.
Two Prudential Plaza
Suite 4700
180 North Stetson Avenue
Chicago, IL 60601



Date Mailed: 03/28/2001

MISSING PARTS DUE 5/28/01

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION 9/28/01

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.



Customer Service Center

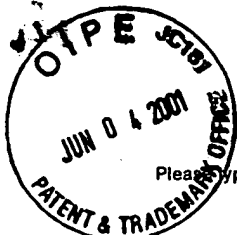
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/06/2001 MDAHTE1 00000021 09775949

01 FC:105

130.00 0P



Please type a plus sign (+) inside this box → ☒

Sector
2056

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| | | |
|--|------------------------|------------------------|
| TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i> | Application Number | 09/775,949 |
| | Filing Date | February 2, 2001 |
| | First Named Inventor | Olivier de Pous et al. |
| | Group Art Unit | 3636 |
| | Examiner Name | Not Yet Designated |
| Total Number of Pages in This Submission | Attorney Docket Number | VAL1599P0190US |

| ENCLOSURES (check all that apply) | | |
|--|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form | <input checked="" type="checkbox"/> Assignment Papers (for an Application) | <input type="checkbox"/> After Allowance Communication to Group |
| <input checked="" type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment / Reply | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | Assignment and Recordation Form Cover Sheet |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Request for Refund | Signed Declaration and Power of Attorney |
| <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> CD, Number of CD(s) _____ | |
| <input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application | Remarks | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | |
|--|---|
| Firm or Individual name | Paul M. Odell, Reg. No. 28,332 Rockey, Milnamow & Katz, Ltd. |
| Signature | |
| Date | May 29, 2001 |

| CERTIFICATE OF MAILING | | | |
|---|---------------|------|--------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: May 29, 2001 | | | |
| Typed or printed name | Paul M. Odell | Date | May 29, 2001 |
| Signature | | | |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\\$)

Complete if Known

| | |
|----------------------|------------------------|
| Application Number | 09/775,949 |
| Filing Date | February 2, 2001 |
| First Named Inventor | Olivier de Pous et al. |
| Examiner Name | Not Yet Designated |
| Group Art Unit | 3636 |
| Attorney Docket No. | VAL1599P0190US |

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number
- Deposit Account Name
- ☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status. See 37 CFR 1.27
2. ☒ Payment Enclosed:
- ☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

| | Large Entity | Small Entity | Fee Description | Fee Paid |
|-----------|--------------|------------------------|----------------------|----------|
| Code (\$) | Code (\$) | Code (\$) | | |
| 101 710 | 201 355 | Utility filing fee | <input type="text"/> | |
| 106 320 | 206 160 | Design filing fee | <input type="text"/> | |
| 107 490 | 207 245 | Plant filing fee | <input type="text"/> | |
| 108 710 | 208 355 | Reissue filing fee | <input type="text"/> | |
| 114 150 | 214 75 | Provisional filing fee | <input type="text"/> | |

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims - 20** = X =

Independent Claims - 3** = X =

Multiple Dependent =

| | Large Entity | Small Entity | Fee Description | Fee Paid |
|-----------|--------------|--|----------------------|----------|
| Code (\$) | Code (\$) | Code (\$) | | |
| 103 18 | 203 9 | Claims in excess of 20 | <input type="text"/> | |
| 102 80 | 202 40 | Independent claims in excess of 3 | <input type="text"/> | |
| 104 270 | 204 135 | Multiple dependent claim, if not paid | <input type="text"/> | |
| 109 80 | 209 40 | ** Reissue independent claims over original patent | <input type="text"/> | |
| 110 18 | 210 9 | ** Reissue claims in excess of 20 and over original patent | <input type="text"/> | |

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| | Large Entity | Small Entity | Fee Description | Fee Paid |
|------------|--------------|--|-----------------|----------|
| Code (\$) | Code (\$) | Code (\$) | | |
| 105 130 | 205 65 | Surcharge - late filing fee or oath | 130.00 | |
| 127 50 | 227 25 | Surcharge - late provisional filing fee or cover sheet | | |
| 139 130 | 139 130 | Non-English specification | | |
| 147 2,520 | 147 2,520 | For filing a request for <i>ex parte</i> reexamination | | |
| 112 920* | 112 920* | Requesting publication of SIR prior to Examiner action | | |
| 113 1,840* | 113 1,840* | Requesting publication of SIR after Examiner action | | |
| 115 110 | 215 55 | Extension for reply within first month | | |
| 116 390 | 216 195 | Extension for reply within second month | | |
| 117 890 | 217 445 | Extension for reply within third month | | |
| 118 1,390 | 218 695 | Extension for reply within fourth month | | |
| 128 1,890 | 228 945 | Extension for reply within fifth month | | |
| 119 310 | 219 155 | Notice of Appeal | | |
| 120 310 | 220 155 | Filing a brief in support of an appeal | | |
| 121 270 | 221 135 | Request for oral hearing | | |
| 138 1,510 | 138 1,510 | Petition to institute a public use proceeding | | |
| 140 110 | 240 55 | Petition to revive - unavoidable | | |
| 141 1,240 | 241 620 | Petition to revive - unintentional | | |
| 142 1,240 | 242 620 | Utility issue fee (or reissue) | | |
| 143 440 | 243 220 | Design issue fee | | |
| 144 600 | 244 300 | Plant issue fee | | |
| 122 130 | 122 130 | Petitions to the Commissioner | | |
| 123 50 | 123 50 | Processing fee under 37 CFR 1.17(q) | | |
| 126 180 | 126 180 | Submission of Information Disclosure Stmt | | |
| 581 40 | 581 40 | Recording each patent assignment per property (times number of properties) | 40.00 | |
| 146 710 | 246 355 | Filing a submission after final rejection (37 CFR § 1.129(a)) | | |
| 149 710 | 249 355 | For each additional invention to be examined (37 CFR § 1.129(b)) | | |
| 179 710 | 279 355 | Request for Continued Examination (RCE) | | |
| 169 900 | 169 900 | Request for expedited examination of a design application | | |

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 170.00

SUBMITTED BY

Name (Print/Type) Paul M. Odell

Registration No. (Attorney/Agent) 28,332

Complete (if applicable)

Telephone (312) 616-5400

Signature

Date May 29, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.